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PTO/SB/18 (08-03)

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# DESIGN PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	61780.0002
First Named Inventor	DOYLE, Thomas James
Title	Risk Assessment System
Express Mail Label No.	

ADDRESS TO:  
**Mail Stop Design**  
**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

**DESIGN V. UTILITY:** A "design patent" protects an article's ornamental appearance (e.g., the way an article looks) (35 U.S.C. 171), while a "utility patent" protects the way an article is used and works (35 U.S.C. 101). The ornamental appearance of an article includes its shape/configuration or surface ornamentation upon the article, or both. Both a design and a utility patent may be obtained on an article if invention resides both in its ornamental appearance and its utility. For more information, see MPEP 1502.01.

APPLICATION ELEMENTS <i>See MPEP 1500 concerning design patent application contents.</i>		ACCOMPANYING APPLICATION PARTS	
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original, and a duplicate for fee processing)</i>	7. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))	2. <input checked="" type="checkbox"/> Applicant claims small entity status. <i>See 37 CFR 1.27.</i>	8. <input type="checkbox"/> 37 CFR 3.73(b) Statement <i>(when there is an assignee)</i> <input checked="" type="checkbox"/> Power of Attorney
3. <input checked="" type="checkbox"/> Specification [Total Pages 68] <i>(preferred arrangement set forth below, MPEP 1503.01)</i> - Preamble - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Description of the figure(s) of the drawings - Feature description - Claim (only one (1) claim permitted, MPEP 1503.03)	9. <input type="checkbox"/> English Translation Document <i>(if applicable)</i>	10. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations	11. <input type="checkbox"/> Preliminary Amendment
4. <input checked="" type="checkbox"/> Drawing(s) (37 CFR 1.152) [Total Sheets 5]	12. <input type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i>	13. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i>	14. <input type="checkbox"/> Request for Expedited Examination of a Design Application (37 CFR 1.155) <i>(NOTE: Substitute "Mail Stop Expedited Design" for "Mail Stop Design" in the address indicated above.)</i>
5. Oath or Declaration [Total Pages 2]	15. <input type="checkbox"/> Other: _____		
a. <input checked="" type="checkbox"/> Newly executed (original or copy)			
b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 16 completed)</i>			
i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)			
6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76			

**16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:**

Continuation     Divisional     Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_ Art Unit: \_\_\_\_\_

**For CONTINUATION or DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE ADDRESS

Customer Number: 24629     OR     Correspondence address below

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Address			
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Country	Telephone	Fax	

Name (Print/Type) Daryl W. Schnur	Registration No. (Attorney/Agent) 28,569
Signature	Date October 3, 2003

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Design, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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File No. 61780.0001

October 3, 2003

MS Patent Application  
Commissioner for Patents  
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Alexandria, VA 22313-1450

**SENT BY COURIER**

Dear Sir:

**RE: New Application by Doyle, Thomas James**  
**Title: Risk Assessment System**

We are enclosing herewith the following documentation for process:

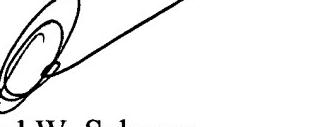
1. Utility Patent Application Transmittal;
2. Patent Application Fee Determination Record;
3. Declaration for Utility Patent Application;
4. Power of Attorney or Authorization of Agent;
5. Specification including abstract and claims;
6. 5 sheets of formal drawings on paper; and
7. Our firm cheque in the amount of U.S. \$1196.00 representing your filing fee herein as follows:

(a) Basic Fee	\$385.00
(b) Independent Claims -2	n/a
(c) Claims - total of 94	666.00
(d) Multiple Dependent Claims	145.00

We look forward to receiving your official filing receipt for the above application in due course.

Yours very truly,

MILLER THOMSON LLP

Per   
Daryl W. Schnurr

DWS/br  
encl.